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jc857 U.S. PTO
09/992283
11/05/01

Express Mail Receipt: EL 500927513 US

ATTORNEY'S DOCKET NO.
020431.1055

PATENT APPLICATION

2

The accompanying application is:

☒ Original.
☐ Continuation ☐ Divisional ☐ Continuation-In-Part (CIP)
of prior application No. _____ which is hereby incorporated by reference therein.


FEE CALCULATION					FEE
	Number		Number Extra	Rate	Basic Fee
					\$ 740.00
Total Claims:	35	35 - 20 =	15	15 X \$18 =	\$ 270.00
Independent Claims	5	5 - 3 =	2	2 X \$84 =	\$ 168.00
TOTAL FILING FEE =					\$1,178.00

☒ Enclosed is a check in the amount of **\$1,178.00** to satisfy filing fee requirements under 37 C.F. R. § 1.16.

☒ Please charge any additional fees or credit any overpayment to Deposit Account No. 02-0384 of BAKER BOTTS L.L.P. **A duplicate copy of this sheet is enclosed.**

Respectfully submitted,

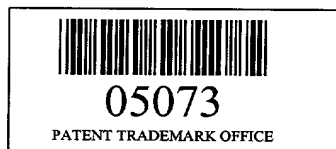
BAKER BOTTS L.L.P.


Christopher W. Kennerly
Reg. No. 40,675
Attorneys for Applicants

Dated: November 5, 2001
CWK/cad4

Correspondence Address:

☒ Customer Number or Bar Code Label



Docket No.:
020431.1055

PATENT APPLICATION

1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: Douglas F. Connor, et al.
Filing Date: November 5, 2001
Entitled: GENERATING A RISK ASSESSMENT REGARDING A
SOFTWARE IMPLEMENTATION PROJECT

BOX: Patent Application

Assistant Commissioner of Patents
Washington, D.C. 20231

Dear Sir:

NON-PUBLICATION REQUEST

Pursuant to 37 C.F.R. § 1.213(a), Applicants respectfully request that the above-identified patent application not be published under 37 U.S.C. § 122(b). Applicants hereby certify that the invention disclosed in the above-identified application has not been and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

Respectfully submitted,
BAKER BOTTS L.L.P.
Attorneys for Applicants



Christopher W. Kennerly
Reg. No. 40,675

Please send all correspondence to:

Baker Botts L.L.P.
2001 Ross Avenue, Suite 600
Dallas, Texas 75201-2980
(214) 953-6812

Date: November 5, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Douglas F. Connor, et al.
Filing Date: November 5, 2001
Title: GENERATING A RISK ASSESSMENT
REGARDING A SOFTWARE IMPLEMENTATION
PROJECT

BOX PATENT APPLICATION

Assistant Commissioner
for Patents
Washington, DC 20231

Dear Sir:

CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that the attached Patent Application (41 pages), Non-Publication Request (1 page), Declaration and Power of Attorney (3 pages), Assignment (2 pages), Assignment Recordation Form (2 pages), Fee Transmittal Sheet (2 pages), Formal Drawings (6 sheets), Information Disclosure Statement with 8 references, PTO-1449 Form, Baker Botts return postcard (1 card), this Certificate of Mailing, and checks in the amounts of \$1,178.00 and \$40.00 are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on this 5th day of November, 2001 and are addressed to the Assistant Commissioner for Patents, Washington, DC 20231.



Willie Jiles

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No. EL 500927513 US
Attorney's Docket No.:
020431.1055